

BUSINESS LITIGATION

## Securities and Commodities Litigation

The Schuyler Roche Securities and Commodities Litigation practice is noteworthy for its diversity. It ranges from courtroom defense against class action and other securities- and commodities-based claims to representation before regulators or investigators to all forms of arbitration and reparation proceedings. Our litigation team advocates aggressively yet professionally. Many of our litigation shareholders participate in our securities and commodities litigation practice, enabling us to better serve clients by drawing upon the collective wisdom of our group and the unique experience of particular members. Our clients include securities broker-dealers, commodities brokers or clearing firms, individual brokers and traders, market makers and investment advisors, tender offerors and public corporations. From time to time, Schuyler Roche also brings claims on behalf of aggrieved investors and other financial market participants.

Schuyler Roche has attracted outstanding litigators through our substantial exposure representing brokerage firms on a variety of matters affecting their industry, their interactions with competitors and their services to investor and institutional clients. Market manipulation, pyramid schemes, unauthorized transactions, churning and unsuitable investment recommendations are typical of the charges we have defended. Supporting our litigators are Schuyler Roche attorneys specializing in other disciplines—including commercial transactions, public accountancy, labor counseling and employer representation—who are an invaluable complement in our representation of securities and commodities brokerage clearing and trading firms.

Whether serving defendants or plaintiffs, we draw on our vast trial experience addressing a multitude of complex issues before federal and state courts, regulatory agencies and arbitration forums. Our many victories aside, where possible and appropriate, we seek to keep our clients out of courtrooms and other litigation arenas. We encourage risk assessment and management and—when appropriate and consistent with our clients' interests—negotiated settlements. Call us. Quite likely we are knowledgeable about the issues important to you and have already confronted them successfully.

*The complex and highly regulated realm of securities and commodities claims resolution demands legal representation founded on achievement and the drive to excel. Both elements help define Schuyler Roche's impressive record of success.*

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THIS PRACTICE AREA**

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